

1 Baboons (*Papio papio*), but not humans, break cognitive set in a
2 visuomotor task.

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23 Abstract
24

25 Cognitive set can be both helpful and harmful in problem solving. A large set of similar problems
26 may be solved mechanically by applying a single solution method. However, efficiency might be
27 sacrificed if a better solution exists and is overlooked. Despite half a century of research on cognitive set,
28 there have been no attempts to investigate whether it occurs in non-human species. The current study
29 utilized a non-verbal, computer task to compare cognitive set between 104 humans and 15 baboons (*Papio*
30 *papio*). A substantial difference was found between humans' and baboons' abilities to break cognitive set.
31 Consistent with previous studies, the majority of humans were highly impaired by set, yet baboons were
32 almost completely unaffected. Analysis of the human data revealed that children (ages 7-10) were
33 significantly better able to break set than adolescents (11-18) and adults (19-68). Both the evolutionary and
34 developmental implications of these findings are discussed.
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36 Introduction

37 As problems increase in complexity, so too do their solutions. To mediate the difficulties of
38 solving these complex problems, rules may be established which give the correct answer yet bypass
39 problem reanalysis. Rules allow many similar problems to be solved efficiently and are often used to teach
40 problem-solving skills (Henderson and Pingry 1953; Rohrer and Taylor 2006). However, problem solving
41 by rule-use is not solely beneficial. The difficulty arises when a learned strategy is not the only way to
42 achieve the solution and may actually be less efficient than an alternative. Cognitive set, also termed
43 ‘einstellung’ or ‘mental set,’ occurs when a subject successfully learns a rule to solve several problems but
44 is unable to switch to a more efficient method when it becomes available (Luchins 1942; Ruscio and
45 Amabile 1999). Thus, an inability to break cognitive set occurs when that learned solution occludes other
46 problem-solving methods. In other words, once a rule is adopted, other options are not explored. An
47 inability to break cognitive set leads to inefficient problem solving by preventing the use of alternative,
48 sometimes better, problem-solving methods.

49 In 1942, Abraham Luchins showed that thousands of humans struggled to break cognitive set in
50 order to use a more efficient solution. Luchins’ (1942) task required participants to obtain a target quantity
51 by adding and subtracting three given values. The first five of these problems could be solved by a single,
52 somewhat complex, rule. However, these were followed by two hybrid problems, solvable both by the
53 learned rule and a more efficient, direct method. Luchins (1942) found that 70-100% of subjects persisted
54 in using the learned rule rather than switching to the direct method. However, despite its pervasiveness, the
55 underlying causes of humans’ susceptibility to cognitive set and potential methods to combat it are opaque.

56 Several factors influence, to varying degrees, subjects’ abilities to break a mental set including
57 instruction (Aftanas and Koppenaal 1962; Luchins and Luchins 1950), working memory availability
58 (Beilock and De Caro 2007), speed requirements (Luchins 1942), amount of training (Luchins 1942;
59 Crooks and McNeil 2009), and similarity between problems (Sweller [et al.](#) 1982). Further, although
60 Luchins (1942) reported no substantial age effects on cognitive set, age has been shown to affect other
61 similar types of problem solving. ‘Functional fixedness’ is described as occurring when an object’s use as
62 a tool is dramatically hindered by a subject’s experience with it in another functional role (Duncker and
63 Lees 1945). In a tool-use task, Defeyter and German (2003) reported that five-year-old children were

64 unaffected by their previous experience with a tool, yet seven-year-olds and adults easily fell victim to
65 functional fixedness. Despite these accounts, little is known of the differences in cognitive set between
66 children, adolescents, and adults.

67 Understanding the evolutionary origins of cognitive set may aid in understanding it's
68 pervasiveness in human problem solving. However, previous research on how the mechanization of set
69 may have evolved is nonexistent. This is likely due to the impossibility of a comparative analysis using
70 Luchins' task, which uses arithmetic problems. Studies comparing adults and nonhuman primates using
71 computer paradigms have noted differences in problem-solving performance that may be relevant to set-
72 breaking behavior. First, differences in sequence perception have been reported between non-human
73 primates and adults. Ohshiba (1997) noted that macaques' response times to a simultaneous chaining task
74 increase as they progress through the sequence, indicating that they are using a 'serial search strategy.'
75 Conversely, human adults' responded slowly to the first item in the sequence but quickly to the rest of the
76 items, indicating that they were using a 'collective search strategy' and were mentally identifying the entire
77 sequence before reproducing it (Conway and Christiansen 2001). These results may be applicable to
78 problem-solving in general, with macaques operating in a more local manner (each step is independent) and
79 humans in a more global one (each step is part of the sequence). This is in line with findings comparing
80 perceptual biases between humans and baboons, another old world monkey species. Baboons were found
81 to respond more quickly to local stimuli while human adults responded more quickly to global stimuli
82 (Deruelle and Fagot 1998). If we consider that the key to avoiding cognitive set is likely rooted in an
83 ability to see and utilize the individual steps within a rule, humans' holistic approach may be what is
84 driving their inability to break set. Further, Stoet and Snyder found that macaques' problem solving was
85 more affected by distractions than human adults' (2003), suggesting that they may be less focused on the
86 problem-solving rule and more attentive to individual variation between problems. Conceptually, this may
87 provide old world monkeys with an increased awareness of the alternative method in a cognitive set task.

88 The current research has two main goals. First, it investigated the evolutionary origins of
89 cognitive set by comparing humans to baboons (*Papio papio*) in a computerized, nonmathematical
90 cognitive set task. We hypothesized that the ability to break set would be different between the two species
91 due to the differences in perceptual and sequential processing between old world monkeys and humans.

92 Indeed, extreme differences were found between the two species. Baboons were almost entirely immune to
93 the effects of set, while the majority of humans did not break away from the learned rule. Second, the
94 developmental trajectory of cognitive set in humans was analyzed by comparing children, adolescents, and
95 adults. Children were significantly more likely to break cognitive set than either adolescents or adults.
96 These findings are discussed from both evolutionary and developmental perspectives.

97

98 **Methods:**

99 **Subjects and Materials**

100 Baboon data were collected from 15 subjects (ages 1.8-9.3 years), including six males (mean age
101 = 5.3, SD = 2.68) and nine females (mean age = 5.1, SD = 2.36), living in a larger social group of 24
102 individuals located at the CNRS “Station de Primatologie”, Rousset-sur-Arc, France. Baboons were tested
103 via 10 automated learning devices for monkeys (ALDMs; Fagot and Paleressompoulle 2009; Fagot and
104 Bonté 2010), which were directly attached to an outside 700 m² enclosure. Subjects had unrestricted access
105 to the ALDMs which consisted of a 70 cm × 70 cm × 80 cm testing chamber with a view port and two hand
106 ports. The view port allowed subjects to see the 19-inch LCD touchscreen monitor (1939L Open-Frame
107 Touchmonitor, Elo Touch Solutions). As subjects reached through the hand ports, a microchip was read for
108 subject identification, which prompted the program to resume the trial list at the appropriate place for that
109 subject. For correct responses, the ALDMs automatically dispensed several grains of dry wheat. The
110 experiment was programmed using EPrime (Version 2.0, Psychology Software Tools, Pittsburgh). The
111 local “Provence Alpes Côte d’Azur” ethic committee for experimental animal research approved the use of
112 the ALDM procedure.

113 Human data were collected from 104 subjects (ages 7-68), including 40 males (mean age = 26.85,
114 SD = 17.7) and 64 females (mean age = 25, SD = 17.7). [Subjects were recruited via a sign which read](#)
115 [“Would you like to be a part of scientific study?” and tested at Zoo Atlanta, in Georgia, USA.](#) Humans
116 were tested behind a curtain in a ‘booth’ along a main path at Zoo Atlanta on a 19-inch LCD touchscreen
117 monitor (1915L Desktop Touchmonitor, Elo Touch Solutions). The experimenter was nearby, but
118 separated from the subject by a curtain and remained inattentive. [Additionally, family members often](#)
119 [remained in the general vicinity but were asked to remain inattentive and out of sight of the participant.](#)

120 Participants were given headphones (Koss On-Ear KPH Headphones, KPH7W) to hear sounds elicited by
121 incorrect or correct responses. Correct responses were followed by a cartoon of a present, which increased
122 in size with each correct response. After the fourth correct response, subjects were allowed to choose a
123 sticker and the present size was reset. [The same instructions were given to both children and adults](#)
124 [explaining the correct/incorrect response screens and stated that they “would need to touch the shapes to](#)
125 [figure out the right answer.” Subjects could choose to stop testing at any point and 27 \(6 adults, 6](#)
126 [adolescents, and 15 children\) either stopped of their own volition or were casually stopped \(by displaying](#)
127 [the end screen\) if they had not passed the training within 15 minutes.](#) All human methods were approved
128 by the Zoo Atlanta Research Committee and the Georgia State University Institutional Review Board prior
129 to testing.

130

131 **Testing**

132 The testing phase consisted of two conditions, baseline (BASE) and probe (PROBE). Trials began
133 after the subject touched a fixation cross. First, two demonstration slides (150 ms each) displayed a 300 x
134 300 pixels red square in one location and then again in another location (out of four possible locations) on a
135 light blue background (Fig 1). Subjects were then given a response screen, consisting of four white squares
136 in the four available locations on a dark blue background. For correct responses, subjects were required to
137 reproduce the demonstration by touching the two white squares located where the red squares had been in
138 the demonstration, in the correct order. If subjects touched an incorrect square they were shown a 3 second
139 ‘time-out’ screen before the next trial was cued. In the BASE condition, if subjects accurately reproduced
140 the demonstration, they were presented with a blue triangle in place of one of the two remaining white
141 squares (Fig 1a). To answer correctly, subjects needed to touch the blue triangle. This sequence (touch
142 square1, touch square2, touch triangle) constituted the learned strategy (LS). However, in the PROBE
143 condition, the blue triangle was shown throughout the two demonstration squares and remained visible in
144 the same location on the response screen (Fig 1b). Therefore, subjects could continue to use the LS, but
145 were also able to touch the triangle directly and receive a more immediate reward. This more efficient
146 response (touch triangle) constituted the direct strategy (DS). Importantly, in BASE trials, the triangle was
147 revealed after the first two correct responses. However, if subjects happened to touch where the triangle

148 was located during any of the response screens, they were rewarded. This enabled us to capture the
149 baseline number of time subjects might accidentally touch the triangle's location regardless of it being
150 visible.

151 Baboons were given 720 testing trials (576 BASE and 144 PROBE), humans 11 years and older
152 were given 96 testing trials (48 BASE and 48 PROBE) and humans under 11 were given 48 testing trials
153 (24 BASE and 24 PROBE). The number of trials presented to humans was reduced to minimize fatigue (as
154 they had to complete the experiment in one sitting). It should be recognized that for baboons, the ratio of
155 BASE to PROBE was 4:1, while for humans it was 1:1. The 4:1 ratio promotes the use of the LS in
156 baboons. This is due to BASE trials not being readily solvable with the DS method, as the triangle is
157 hidden. Therefore, more BASE trials means more LS use. After collecting pilot data indicating that
158 humans preferred the LS even with the 1:1 ratio, we opted to collect an even number of PROBE and BASE
159 trials for humans to minimize the duration of the test sessions, while keeping the number of test trials large
160 enough for statistical analyses and cross species comparison. See Supplementary Figure 1 for Pilot data.

161

162 **Training**

163 The three training procedures were carried out over the course of 14 days for baboons and
164 immediately preceding the testing phase for humans. Baboons completed an average of 12,945 training
165 trials (SD = 4346), while humans completed an average of 35.2 trials (SD = 18). One of the baboons did
166 not pass training level 2 but successfully passed the more difficult training level 3. Thus, his data were
167 included.

168 For baboons, training 1 consisted of 96-trial blocks. Trials were randomly selected from 24
169 possible square/triangle configurations. Each trial began with a fixation cross, followed by two
170 demonstration slides (each 150 ms) showing a red square move from one location to another (out of four
171 possible locations). Next, a response screen was presented, consisting of two white squares in the same
172 locations as the demonstrated squares. The correct response was to touch the squares in the demonstrated
173 order. Baboons completed an average of 5545 (SD = 1947) training 1 trials. To pass training 1, subjects
174 needed to achieve 80% accuracy within a training block, two times (non-consecutively).

175 For humans, training 1 consisted of 8-trial blocks and demonstration slides were 350 ms each. This

176 is the same training procedure that was used for baboons and the 8 trials were randomly selected from the
177 same 24 possible square/triangle configurations. After each block, the subject's accuracy was assessed. If
178 below 80%, the subject repeated the training level. Accuracy criteria were the same for all training levels.
179 Humans required an average of 13.06 (SD = 7.68) trials to pass training 1.

180 Training 2 was conducted immediately after Training 1. Demonstration slides' display times
181 decreased to 250 ms for humans. Trials and block composition were identical to Training 1 except that
182 four white squares were given as options during the response phase instead of two (See Fig 1 for example
183 of four square setup). Baboons and humans completed an average of 6095 (SD = 2141) and 10.42 (SD =
184 8.35) training 2 trials, respectively.

185 Training 3 is identical to the BASE condition in the testing phase. Demonstration slides' display
186 times were equivalent for baboons and humans at 150 ms (Fig 1a). The experimental phase began
187 immediately after subjects passed Training 3. Baboons and humans completed an average of 1574 (SD =
188 1000) and 11.70 (SD = 9.18) training 3 trials, respectively.

189

190 **Data Analysis:**

191 Trials were analyzed to determine whether the LS or the DS was used. Trials in which the subject
192 sequentially touched all three response stimuli (Square1 + Square2 + Triangle) to achieve the reward were
193 classified as having been solved by the LS. Trials in which the subject touched only two (Square1 +
194 Triangle) or one (Triangle) response stimuli to achieve the reward were classified as having been solved by
195 the DS. [The Square 1 + Triangle response was included as a DS in an attempt to conservatively maintain](#)
196 [the dichotomous LS vs DS paradigm.](#) For each subject, the number of trials in which the DS were used
197 was divided by the number of correct trials completed. This yielded a DS-use ratio for both BASE and
198 PROBE trials. Next, for each trial-type (BASE and PROBE) subjects were classified as preferring the DS
199 (DSer) or the LS (LSer) based on this DS-use ratio. [For percent DS use, the median was 0% for both BASE](#)
200 [and PROBE conditions and the mean was 1.01% \(stdev = 2.16\) for BASE and 8.01% \(stdev = 20.44\) for](#)
201 [PROBE trials. Thus, subjects who used the DS in more than 5% of BASE trials were classified as DSers in](#)
202 the BASE condition. Alternatively, subjects who used the DS in fewer than 5% of BASE trials were

203 | classified as LSers in the BASE condition. The same [highly conservative](#) criteria were used to classify
204 | subjects as DSers and LSers in the PROBE condition.

205 | To look at the effects of age, humans were classified into three age groups: Young Children: ages
206 | 7-10 (n=27, mean=8.44, stdev=1.15), Adolescents: ages 11-18 (n=25, mean=13.52, SD=2.22), and Adults:
207 | ages 19-68 (n=52, mean=40.48, SD=13.18). Age effects were not investigated in baboons, as there was
208 | extremely little response variation between subjects.

209 |

210 | **Results:**

211 | Baboons: Mean percentages correct for BASE and PROBE were 80.7% (SD = 4.5) and 82.9%
212 | (SD = 11.0), respectively. Combined, subjects used the LS in only 3 PROBE trials (.02 %) of the 1790
213 | PROBE trials compared to 6898 uses (1.02 %) in BASE trials out of the 6969 BASE trials. Additionally,
214 | in 20 PROBE trials (.11 %), the baboons touched the correct first red square but then skipped the second
215 | and proceeded to touch the blue triangle. Further, all baboons immediately switched to the DS on the first
216 | PROBE trial; the three times subjects failed to use the DS were trial numbers 22, 37, and 49. All the tested
217 | baboons therefore showed a pronounced and immediate preference for the more efficient, DS method in the
218 | PROBE condition and were classified as DSers (see Fig 2).

219 | Humans: Mean percentages correct for BASE and PROBE were 91.2% (SD = 10.1) and 89.5%
220 | (SD = 11.1) respectively. Among the 104 subjects, only 21 (20.2%) used the DS in greater than 5% of
221 | PROBE trials. Of these, only 7 (6.7%) used the DS in over 50% of trials, indicating that they were able to
222 | overcome cognitive set and use the more efficient alternative method consistently. Interestingly, 50
223 | humans (48%) used the DS at least once. Thus, even after discovering the more efficient alternative, their
224 | set was unbroken.

225 | A Yates' continuity corrected chi-square (used due to an expected value smaller than 5) compared
226 | the frequencies of LSers vs DSers in the two species. A significant chi-square ($\chi^2(1) = 35.88, p = .000$)
227 | confirmed that the number of DSers was greater in baboons than in humans (see Fig 2). Additionally,
228 | another Yates' continuity corrected chi-square, indicated that there was no significant association between
229 | BASE solution strategy classification and species $\chi^2(1) = .105, p = .746$ (Fig 2).

230 To investigate the impact of age on DS-use, human subjects were reclassified as DSers or LSers
231 based on their first 48 trials (24 BASE, 24 PROBE). This was done to eliminate the difference in trial
232 number between children (who received 48 trials) and adolescents and adults (who received 96 trials). A
233 Pearson's chi-square revealed that there was a significant association between age group and PROBE
234 solution strategy classification in humans $\chi^2(2) = 13.32, p = .001$ (Fig 3). Further, the only category in
235 which the standardized residual was significant (2.8) was Children DSers, indicating that they were driving
236 the effect. The association between BASE trials and age group was not significant $\chi^2(2) = 1.60, p = .923$
237 (Fig 3); however, the three expected values associated with DS-use for each age group were under 5 (1.6,
238 1.8, 3.6) indicating that the BASE age results should be cautiously interpreted.

239

240 Discussion

241 In this study, the first main finding was that baboons and humans responded differently on a
242 cognitive set task. Baboons immediately broke set and adopted the more efficient DS when it became
243 available, while the majority of humans failed to deviate from the LS. Our second finding was that
244 humans' ability to break cognitive set is associated with their age. Children were 3 times more likely to be
245 classified as DSers in the PROBE condition than adolescents and 2.4 times more likely than adults. As far
246 as we know, this is the first study to investigate cognitive set in a non-human species and it is one of very
247 few to look at developmental differences in susceptibility to cognitive set in humans (Luchins 1942;
248 Cunningham 1965; Janzen [et al.](#) 1976).

249 Previous findings regarding age effects and cognitive set are inconsistent. Luchins (1942) found
250 a trend (of unreported statistical significance) indicating that public school children (ages 9-14) were less
251 able to recover from cognitive set compared to adults (ages 16-52). Cunningham (1965) tested children
252 ages 7-12 on modified cognitive set tasks and found (minimally reported) trends indicating that older
253 subjects were better able to overcome set. However, Janzen, Maguire, and Boersma (1976) tested children
254 (ages 5-12) on visual set tasks and found no significant age effects. We propose that the LS-DS task is
255 better able to compare cognitive set across ages (and species) than previous methods. While previous set
256 tasks have involved arithmetic (Luchins 1942; Cunningham 1965) and alphabetic rules (Cunningham
257 1965), the LS-DS task required the use of a spatiotemporal rule: Identify the two demonstrated squares in

258 their demonstrated order. This paradigm allowed us to a) compare set between baboons and humans and b)
259 compare across age groups where all subjects were naïve to the task and it's rules prior to testing. Thus,
260 the LS-DS task may be a better test of cognitive set across age groups because it does not rely on math or
261 language skills, which are very different between children, adolescents, and adults.

262 Although the LS-DS task was extremely similar between humans and baboons, it was not
263 identical. However, differences in methodology between species should have promoted the opposite of our
264 observed effects and thus, strengthen our findings. Humans received longer display times during training,
265 which could have conferred increased salience to the LS. Yet, overall LS salience was heavily weighted
266 towards baboons as they received an average of 12,915 more training trials than humans. Research
267 suggests that increased training with a rule decreases the likelihood of participants' breaking set (Crooks
268 and McNeil 2009). Thus, baboons should have been less able to break set than humans based on LS
269 experience, which was not the case.

270 The differential abilities of baboons and humans to break cognitive set are extreme and yet, an
271 underlying cause is not immediately apparent. Why did the baboons immediately consider the DS, whereas
272 humans ignored it? One hypothesis is that differences in visual and sequential processing may have
273 conferred increased perceptual awareness of the DS to baboons. Indeed, the baboons used the DS the very
274 first time it was available and then continued to use it in nearly every subsequent PROBE trial. To do this,
275 they must have (a) been aware of the triangle's premature presence in PROBE trials and (b) associated it,
276 not the sequence as whole, with the reward. In line with Conway and Christiansen's (2001) findings
277 illustrating the serial and collective search strategies of old world monkeys and humans respectively,
278 baboons may have perceived the task's solution as a series of individual stimuli [(Square1) + (Square2) +
279 (Triangle) = Reward] and humans may have perceived it as a collective rule [i.e. (Square1 + Square2 +
280 Triangle) = Reward]. Thus, if baboons solved the LS-DS task with a serial search strategy, it might have
281 allowed the DS [(triangle) = reward] to be visually disentangled from within the LS and thus, used more
282 effectively. Humans, on the other hand, may have used a collective search strategy and been less attentive
283 to the triangle's premature presence in PROBE trials. [Further, Bilalić, McLeod, and Gobet \(2008\) found](#)
284 [that previous experience with a solution strategy biased visual attention towards that strategy in expert](#)
285 [chess players. However,](#) the possible differences in [visual and](#) sequential processing of the LS-DS task

286 between baboons and humans does not explain why only 14 % of humans who used the DS at least once
287 were able to break set. Even if it was accidental, what prevented the majority of humans from switching to
288 the DS after discovering it?

289 Another explanation for humans' inability to break set is that they simply did not understand that
290 they were allowed to. Humans' notions of how they should respond might block the use of alternative
291 solutions. Since the classic Milgram shock experiments (1974), obedience to authority has been known to
292 affect human behavior and this has been extended to experimenter presence and the experimental
293 environment in general (see Rosenthal and Rosnow 1969 for discussion). For the current study, humans'
294 responses may have been affected by the presence of the experimenter and/or the knowledge that the task
295 was a scientific study. It is possible that they saw the LS as the way they should solve the task based on
296 their experience with the training and the experimental environment. Baboons, on the other hand, had free
297 access to the testing apparatuses, without the presence of an experimenter and are likely unaffected by the
298 experimental environment. This species difference in 'obedient' responses is supported by findings
299 showing that following a live demonstration of how to access food from a box, humans but not
300 chimpanzees imitated superfluous actions (Horner and Whiten 2005). While the current study did not
301 measure humans' conceptual understanding of the task directly, pilot participants were asked if they had
302 thought about touching the triangle directly after task completion. Responses varied from "I didn't see a
303 triangle" to "I thought it was a trap" to "Yes, and I tried it once." However, even the pilot-subject who
304 tried the DS continued to use the LS afterwards, which is consistent with the 43% of non-pilot participants
305 who "discovered" the DS yet continued to use the LS. The question now becomes: If a subject is able to
306 see the early onset of the triangle in PROBE trials and is willing to try touching it directly, what prevents
307 the majority of them from adopting it as a consistent strategy?

308 We propose that working memory availability plays an important role in humans' persistent use of
309 the LS. In 2007, Beilock and DeCaro found that [when under stress](#), humans with lower working memory
310 availability used the direct response in Luchins' (1942) task more than humans with higher working
311 memory. They posited that those with higher working memory were better able to remember and enact the
312 learned rule, while those with lower working memory favored the less memory-intensive, direct response.
313 [Although the current task did not appear to induce stress in subjects](#), if we consider that the same [working](#)

314 | [memory](#) constraints might also [have driven](#) the increased DS preference in children, who show lower
315 | working memory skills than adults (Miles [et al.](#) 1996; Thomason et al. 2009), then our age effect becomes
316 | more coherent. The LS requires subjects to remember the locations of Square1 and Square2, while the DS
317 | only requires the subject to touch the visible triangle. Simply stated, the LS requires working memory and
318 | the DS does not. Thus when we consider their lower working memory availability, it seems logical that
319 | more children favored the DS than adults who are presumably better equipped to handle the working
320 | memory load necessitated by the LS. This is corroborated by the comment of a 7-year-old pilot subject
321 | after he discovered the DS, “I like it when the triangle is already there because I don’t have to remember
322 | the squares!” Further, baboons show overall lower working memory skills than humans (Fagot and De
323 | Lillo 2011) suggesting that, while a serial search strategy may allow them to see the DS more readily than
324 | humans, their limited working memory could provide increased incentive to use the DS. Adults’ and
325 | adolescents’ persistent use of the LS may simply be a combination of inherent cognitive set and a lack of
326 | working-memory based incentive to deviate from what they’ve learned. [This hypothesis should be](#)
327 | [explored in future studies.](#)

328 | In summary, the current study presents findings suggesting that baboons are less susceptible to the
329 | negative effects of cognitive set than humans. This is, as far as we know, the first comparative cognitive
330 | set study. [It should be noted that in Luchins’ original cognitive set task, an ‘extinction problem,’ where the](#)
331 | [only possible solution was the direct one, was sometimes used to enhance subjects’ ability to break set.](#)
332 | [The current study did not incorporate an extinction problem but this might have an interesting effect on the](#)
333 | [observed differences.](#) While future studies are required to more fully understand these species and age
334 | differences in ability to break cognitive set, the current study proposes that:

335 | (1) Baboons’ immediate use of the DS is facilitated by an increased ability to see the difference
336 | between the PROBE and BASE trials, which is a result of independently processing the individual
337 | components of the task sequence. Further, continued use of the DS is promoted by its minimal working
338 | memory requirements. Free from experimenter effects, baboon responses were unaffected by the
339 | experimental environment and their training with the LS.

340 | (2) After extracting the collective LS from the training, humans’ persistent use of it may have been
341 | governed by a combination of a) difficulty visually differentiating between the PROBE and BASE trials, b)

342 consideration of how they should respond as dictated by the experimental environment and their training,
343 and c) differences in working memory availability, with lower working memory availability promoting DS-
344 use and higher working memory enabling LS-use.

345 Cognitive set facilitates complex problem solving. While non-human primates may encounter
346 complex ecological, physical, or social problems, they are likely variable and not easily solvable by a single
347 governing rule. Humans, on the other hand, are regularly faced with complex similar problems, which
348 readily lend themselves to rule-based solutions. The adaptive benefits (or detriments) of cognitive set are
349 not fully understood but it seems logical that set facilitates humans' ritualized problem solving. It would be
350 interesting to address the presence of cognitive set in non-traditionally educated human populations and/or
351 other non-human primate species.

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356 **Conflict of interest statement**

357 The authors certify that this research was conducted with no financial, commercial, or other
358 pursuits, which could be construed as potential conflicts of interest.

359

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361

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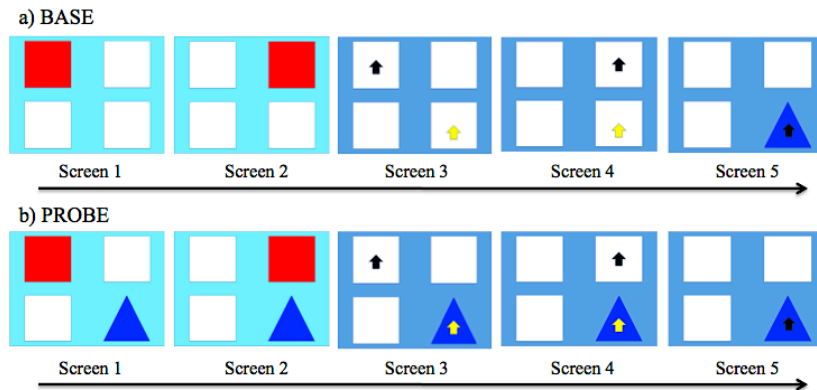
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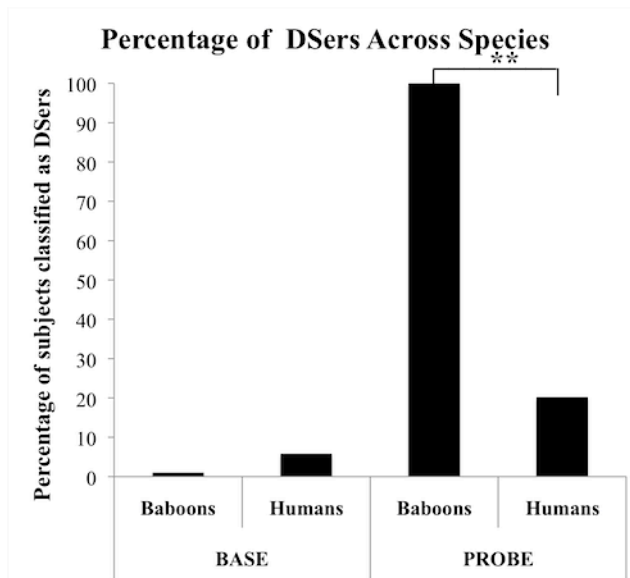
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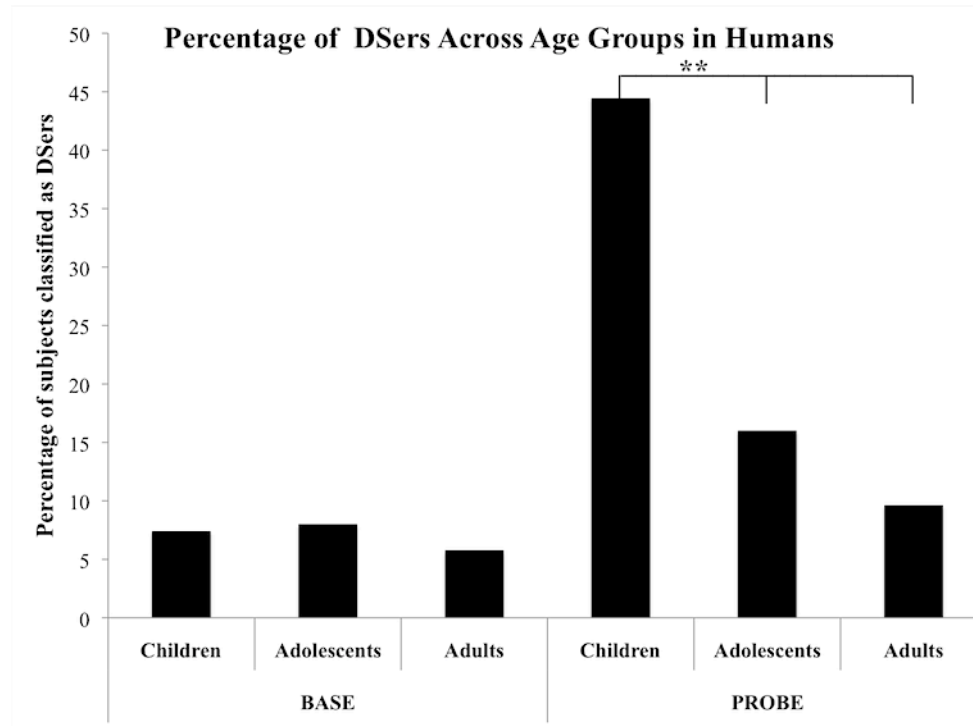
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Fig 1. The demonstration (light blue) and response (dark blue) screens for a) BASE and b) PROBE conditions. Black arrows indicate the LS. Yellow arrows indicate where the subject could touch to use the DS. Arrows were not visible during testing. Upon touching the blue triangle, the subject is rewarded.

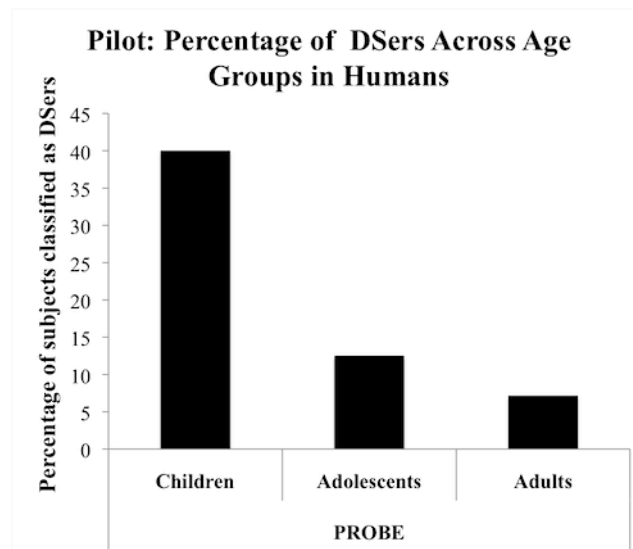


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Fig 2. The percentage of subjects classified as DSers in the BASE and PROBE conditions across baboons and humans. ** $p \leq .001$.



443
444 **Fig 3.** The percentage of subjects classified as DSers in the BASE and PROBE conditions across
445 human children, adolescents, and adults. ** $p \leq .001$
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449 **Supplemental Fig 1.** Pilot data was collected on 32 humans (ages 6-51), including 5 children
450 (mean age = 6.4, SD=.55), 8 adolescents (mean age = 14.13, SD=.35), and 14 adults (mean age 36.36, SD=
451 10.02). Methods were highly similar to those previously described; however, children were given 500ms
452 demonstration slides during testing. Additionally, the first 10 adults were only given 48 testing trials.
453 After a subject noted that she “figured it out at the very end,” the trial numbers were doubled. Once
454 participants had completed all trials, they were asked if they had thought about touching the triangle
455 directly and their responses were recorded. Our results showed that 1 out of 14 (7.14%) adults, 1 out of 8
456 (12.5%) adolescents and 2 out of 5 (40%) children would be classified as DSers. This is consistent with
457 our later findings.
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